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Paula D. Kiser

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**Practitioner's Docket No. 2001-0430.02** *PATENT*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Graf et al.

Application No.: 10/686,245 Group No.:

Filed: October 15, 2003 Examiner:

For: **Low Profile Ink Jet Cartridge Assembly**

**Commissioner for Patents**
**P.O. Box 1450**
**Alexandria, VA 22313**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))**

1. In accordance with 37 C.F.R. §§ 1.97 and 1.98, Applicants herewith submits certain references which the Patent & Trademark Office may wish to consider in examining the above-identified application. The references are listed on the attached Form PTO-1449. Copies of the listed references are also provided. No representation is made or intended that a prior art search has been made or that no better art than that listed is available.

2. The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b). The Commissioner is hereby authorized to charge any additional fees required, or to credit any overpayment, to Deposit Acct. No. 12-1213. A duplicate of this petition is attached.

Date: 1/20/04

Respectfully submitted,

Scott N. Barker, Reg. No. 42,292
Lexmark International Inc.
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PTO/SB/08a (08-03)
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 1 of 2

| Complete if Known | |
|---|---|
| Application Number | 10/686,245 |
| Filing Date | 10/24/2003 |
| First Named Inventor | Paul William Graf |
| Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 2001-0430.02 |

## U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No.[1] | Document Number<br>Number-Kind Code[2] *(if known)* | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No.[1] | Foreign Patent Document<br>Country Code[3]-Number[4]-Kind Code[5] *(if known)* | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T[6] |
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| Examiner Signature | | Date Considered | |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Sheet 2 of 2

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| First Named Inventor | Paul William Graf |
| Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 2001-0430.02 |

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